FINAL CONFERENCE THE FUTURE OF AGEING

Embracing Technology for a Fulfilling Life 7th - 8th March 2024 | Évry (South-East Paris) | France | Télécom Sud Paris





PROF. DR. MALCOLM FISK Professor of Ageing and the Lifecourse ,School of Health, Social Work and Sport at the University of Central Lancashire





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KEYNOTE SPEECH

 A society that supports the comfort of living, regional revitalization, and smart aging in regions and communities through the use of technology





A society that supports the comfort of living, regional revitalization, and smart aging in regions and communities through the use of technology (Case: Japan)

e-VITA: EU-Japan Virtual Coach for Smart Ageing Final Conference March 7th, 2024

curriculum vitae



Yuzuru NAKAGAKI

Business Innovation Manager, Social Value Creation, KPMG Consulting Japan

- Yuzuru's professional expertise is **Business Development** on Social Sector, especially developing **Social Welfare State** on Public-Private Partnerships.
- For the past 15 years, Yuzuru has been engaged in sustainable ecosystem strategy and promoted the Organizational Reformation of Public-Private Intermediate Organization of Welfare including Social Implementation of Advanced Technologies.





Demographics Trends in Japanese Society

The Number of Aging and Isolated People has been increasing rapidly since around 1970 in Japan. In the future, the Elderly Population will decrease around 2040, but the Isolated Population will increase with stopping.



Source: Recreated by KPMG based on published data from Japanese ministries and NIPSSR



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Comprehensive Social Welfare Service System of Japan

Japan has been promoting Comprehensive Community Care as National Social Security since 2000.





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Expansion of Financial Load for Social Welfare in Japan

Japan's Financial Burden for Social Welfare is increasing Year by Year. How to raise Funds for DX Nationwide is a Next Big Issue.



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Strategy for Next-Generation Social Welfare in Japan

Since the National Social Security Council in 2000, Japan has formulated Next Welfare Strategy as "Health Care Vision 2035" across central ministries and agencies. After then, Local Governments and Industry Associations have formulated and implemented these plans to promote DX in Social Welfare utilizing Social Robotics and ICT.





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Technological Policy on Social Care Robotics of Japan

Since 2016, Japanese Government has been promoting Social Robots other than Industrial Robots to implement in Local Governments and support for Private Enterprises.

		\sim 2015	2016 \sim 2020	2021 ~	
	Gov	Japan Revitalization Strategy	Build	Health and Medical Strategy ling a Digital Infrastructure for the Medical, Nursing, and Health Sectors	
	Cabinet Office	Council for Regulatory Reform Promotion National ID	Council for Science, Technology and Innova 5th Science and Technology Plan	tion New Robot Strategy Care Robot Market Size: 50 Billion Yen 0 Risk of Back Pain for Caregivers	
	Ministry of Economy, Trade and Industry	Healthcare Industry Council 5 Year Plan for the Development of Robotic Nursing Care Equipment	Industrial Structure Council CPS Council	Law for Promotion of Comprehensive Securing of Medical & Nursing Care Regional Medical Care Plan	
	Ministry of Health, Labour and Welfare	Special Projects to support Practical Application for Welfare Equipment and Nursing-care Robot	AMED Projects	u, Sagami etc.	
Municipality			National Strategic Special Zone (Healthcare Regulatory Reformation)	Digital Rural City State Concept (FIWARE : Data Integration Platform)	
Academia Industry			NEDO CPS Study Group AIST AI Research Center		
			JEITA CPS Social Implementation TF	[JCSCW] 8 locations nationwide	



Digital Care Solution Market in Japan

Digital Care Solution Market in Japanese Nursing Care is broad, including not only Hardware-based also Software-based Digital Services.



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Overall View of Smart Health and Smart Aging in Japan

Digital coaching programs related to smart health and smart aging are emerging in Japan.





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Expanding Functions of Social Care Robotics in Japan

Social Care Robots that require cooperation with humans, are not capable of stand-alone movements based on traditional physics and engineering techniques, but rather are being developed using sensor technology and IoT to link various technologies and produce mutually effective robots.

	Optimization of Workload			Optimization of Timeload			
	Physical	Quantitative Qualitative	Recording Communication			Transportation Telemonitoring	
		Intelligent				Remote	
Municipality Care Service Provider Care Worker Social Worker Family Elderly	Connect Operations Gov Recommend for Exercise & Rehabilitation Support for Daily Life Meal Bath	Data Integration Platform Platform Hospital Clinics Comple Facility Predict Operations Care Analytics with Al	x Nursing Welfare Home Facility	Gov Recommend Support for Monitoring (IoT)	Facility Operation	Substitute Operations Gov Recommend Support for Mobility & Transfer	
People	Toilet	Witt / t	Communication			Source: KPI	



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International Standardization of QoL Information(Data)

Care Information(Data) related to the Social Care Robots should be aligned with International Standards such as ICF.





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EMERITUS PROF. MICHAEL MCTEAR

Ulster University

KEYNOTE SPEECH 🛛 🎇

3 • Empowering Older Adults: Unravelling the Potential of Large Language Models in Wellbeing Applications



DR. JAN ALEXANDERSSON Deutsches Forschungszentrum für Künstliche Intelligenz





Final Conference 7th – 8th March 2024

Empowering Older Adults: Unravelling the Potential of Large Language Models in Wellbeing Applications

Emeritus Prof. Michael McTear

Ulster University















Promoting active and healthy ageing in older adults in Europe and Japan

- Traditional conversation design (NLU-based)
- LLM-powered conversations
- A quick dive into the technology
- Issues with LLMs
- Recent developments
- Other uses of LLMs in Conversational AI
- Takeaways

NLU-based chatbots

- Create training examples for intents
- Design system prompts and responses
- Create conversation flows (stories)



Problems with NLU-based chatbots

- Missing intents
- Overlapping intents
- Utterances that do not map easily to intents

• Pre-defined system outputs are static and inflexible

• Pre-defined stories are also static and inflexible

i want to add my wife to my credit card Intent classification problem If you'd like to add someone as an authorized user on your credit card, please visit us in your local branch. You'll both need to bring ID. In an intent-based NLU model this utterance i have a broken leg tho would be classified as out-of-scope If you aren't able to visit your local branch, please call our toll-free number at 1-800-555-1234 and we'll see how we can help

Alan Nichol Breaking free from intents: a new dialogue model https://rasa.com/blog/breaking-free-from-intents-a-new-dialogue-model/

Creating System responses

Using canned responses and te So you want to go to \$Destinati

Natural Language Generation pipeline

End-to-end Using Generative AI



Ehud Reiter and Robert Dale, Building Applied Natural Language Generation Systems. Cambridge University Press, 2000

A simple conversation flow



A more open-ended conversation flow



When the interaction becomes more open-ended, it is difficult to predict all possible paths

LLM-powered chatbots

An LLM can:

- interpret the user's inputs
- generate responses dynamically
- continue the conversation dynamically on a turn-by-turn basis



LLM's response to the input "I am feeling sad"

\$

- I'm sorry to hear that you're feeling sad. Is there anything specific that's causing you to feel this way, or is it a general feeling? It's important to take care of yourself when you're feeling down. Here are some things you could try to help you feel better:
- 1. Talk to someone: Sometimes it can help to talk to someone you trust, such as a friend or family member, about what you're feeling. They might be able to provide you with some perspective or offer support.
- 2. Practice self-care: Make sure you're taking care of yourself physically and mentally. This could mean getting enough sleep, eating healthy foods, and exercising regularly. You could also try doing activities that you enjoy, such as reading, listening to music, or taking a warm bath.
- 3. Seek professional help: If you're feeling sad for an extended period of time or if it's interfering with your daily life, it may be helpful to seek professional help from a therapist or mental health professional.

Remember that it's okay to feel sad sometimes, and that it's important to take care of yourself when you do. If you need someone to talk to, don't hesitate to reach out.

Creating a mood chatbot

You are MoodBot, an automated service giving advice to a user.

First greet the customer, and then ask how they are.

- Let the user respond before continuing.
- If the user says something negative, provide an appropriate response to help and then finish.

If the user's response is not negative, say something cheerful and then finish.

Respond in a short, very conversational friendly style.



Hey there! How are you doing today?

MI I'm fine thanks



That's great to hear! I'm glad you're feeling fine. Keep up the positive vibes and enjoy your day! If there's anything you'd like to chat about or if you need any advice or support, feel free to let me know. Have a fantastic day ahead!



Hey there! How are you doing today? Is there anything on your mind that you'd like to share?

MI I'm feeling rather anxious today



I'm sorry to hear that you're feeling anxious today. It's not easy to deal with anxiety, but remember that you're not alone. Is there anything specific that's causing your anxiety? Sometimes talking about it can help. Remember to take deep breaths and try to engage in activities that bring you comfort and relaxation. If the anxiety persists or becomes overwhelming, consider reaching out to a mental health professional who can provide guidance and support. Take care of yourself, and I hope you feel better soon!

Neural Conversational Al The Game Changer in 2017

Attention Is All You Need

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https://arxiv.org/abs/1706.03762







The Transformer architecture The Attention mechanism

Large language models (LLMs)

https://ig.ft.com/generative-ai/

The Transformer architecture

- Transformers are state of the art in NLP
- Encoder set of encoding layers that process the input iteratively one layer after another
- Decoder set of decoding layers that process the output of the encoder.
- Multi-Head Attention helps the Transformer encode and decode multiple relationships and nuances for each word
- Transformers process the entire sequence at once and can handle longer sequences than Recurrent Neural Networks (RNNs)
- Transformers enable parallelization and are faster and more efficient to train and use



Self-Attention

- Self-attention allows the model to look at the other words in the input sequence to get a better understanding of a certain word in the sequence.
- The model looks at the input sequence multiple times, and each time it focusses on different parts of it.
- The self-attention mechanism is applied multiple times in parallel.
 - This allows the model to learn more complex relationships between the input sequence and the output sequence.



Self-Attention example

The dog didn't cross the road because it was too wide



The dog didn't cross the road because it was too frightened



Large Language Models (LLMs)



An LLM is a model of language that is used to understand (encode) and generate (decode) human-like language

LLMs learn complex statistical patterns and relationships within the textual data that they are trained on using deep learning techniques

LLMs are trained by being fed large amounts of text data

Training Large Language Models

An LLM is trained by playing a guess-thenext-word game with itself over and over again.

Each time, the model looks at a partial sentence and guesses the following word.

The model learns by adjusting its parameters (weights) to minimise the difference between the predicted output and the actual output (using stochastic gradient descent).



A pre-trained (or foundation) model can be fine-tuned to new data and tasks

Decoding: Using LLMs to Generate Text

- Decoding uses a Large
 Language Model to predict
 the next word in the
 sequence given the preceding
 words
- The decoder chooses the most probable word to generate, then repeats to generate the next word
- This process is known as autoregressive generation

	learn	4.5%							
	predict	3.5%							
The best thing about AI is its ability to	make	3.2%							
	understand	3.1%							
	do	2.9%							
The best thing about AI is its ability to learn,									
The best thing about AI is its ability to learn from,									

The best thing about AI is its ability to learn, The best thing about AI is its ability to learn from, The best thing about AI is its ability to learn from experience, The best thing about AI is its ability to learn from experience., The best thing about AI is its ability to learn from experience. It, The best thing about AI is its ability to learn from experience. It, The best thing about AI is its ability to learn from experience. It's, The best thing about AI is its ability to learn from experience. It's,

Core Challenges for Systems based on LLMs

- Large amounts of data and vast computing resources are required to train systems
 - Cost of training GPT-3: US\$4.6 million (or a total of 355 GPU years)
- Lack explicit long-term memory inconsistent responses
- Bias: can generate stereotyped or prejudicial content
- Safety: may produce offensive or unsafe responses
- Misinformation: LLMs may produce content that is not grounded in reality (hallucinations)
- Experts cannot interpret the inner workings of LLMs
LLMs are not Search Engines

Search engine

- returns a list of links
- crawls the web
- information is stored in a huge database, represented explicitly
- finds and ranks matching pages
- responses are based on documents on the internet (likely to be accurate)

LLM

- returns a textual response
- trained on vast amounts of data
- information is stored implicitly within the LLM's parameters
- generates a response based on query context, using autoregressive generation
- responses may involve hallucinations

Extending basic use of LLMs



applications (LLM Apps)

Retrieval-augmented Generation (RAG) for Semantic Search





Figure 2.10 Our complete semantic search architecture using two closed-source systems (OpenAI and Pinecone) and an open-source API framework (FastAPI).

Non-trivial steps

- Chunking data
- Choosing an embedding model
- Generating embeddings
- Setting up a vector DB
- Similarity matching prompt / documents
- Generating context
- Engineering prompts





These are exciting times – LLMs and their application in interfaces such as ChatGPT that make them easily accessible offer lots of potential for the future development of chatbots in applications such as supporting older adults

However, there are lots of issues with the uncontrolled use of LLMs in areas such as healthcare where there is a risk of harmful and misleading information

RAG and similar approaches offer a way to address and mitigate these issues

Why did ChatGPT go to therapy?

Because it had too many deep learning issues

New book: due March 2024

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Transforming Conversational AI

Exploring the Power of Large Language Models in Interactive Conversational Agents

Michael McTear Marina Ashurkina



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MARTINO MAGGIO Engineering Ingegneria Informatica SPA

4 • How Advanced Technologies Contribute to a Better Ageing Society: artificial intelligence and IoT technology







Enabling Trustworthy Interactive Coaching in Smart Living Environments

Trustworthiness:

Design and develop a virtual coach that can sustain older adults' well-being

Interaction:

Facilitates older adults' natural communication through conversational coaching strategies in multilingual settings

Smart living environments:

Integration of various devices and sensors within a platform that supports interoperability, user privacy, standardisation





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e-VITA coach and Advanced Technologies

Prof. Gian Marco Revel

Università Politecnica delle Marche, IT





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e-VITA Virtual Coach







The e-VITA virtual coach addresses the Active and Healthy Ageing (AHA) domain in terms of cognition, physical activity, mobility, mood, social interaction, leisure, and spirituality, with the aim of empowering older people in Europe and Japan to better manage their health and daily activities, resulting in improved well-being and stakeholder collaboration.

Objectives

-```_``-

PROPOSE and co-design ICT tools together with end-users and stakeholders to empower older adults to decide how technology should support them in their daily activities.



DEVELOP an advanced intercultural virtual coach with seamless integration of smart living technologies, advanced Artificial Intelligence and tailored dialogue interaction.



PROVIDE a new concept for well-being support and smart health monitoring and companionship for community-dwelling older adults in Europe and Japan.



INCREASE the subjective well-being, individual health and social connectedness, and thus improve the quality of daily life of older adults in Europe and Japan.





Technology at a Glance









INTEROPERABILITY

Sensing Devices

User-related devices

worn by the user to monitor physiological

parameters



















Smart pillow (sleep patterns, movements, breathing patterns)

OURA Ring (HR, HRV, Temperature, Activity, sleep,..)



Human-Coach Interaction





The virtual coach provides **personalized recommendations** based on the analysis of data collected from wearable devices and sensors placed in the smart living environment to improve the quality of life of the older adults.



"uSKIN" Smart Pillow













13 triaxial force sensors

non-intrusive pillow that analyzes sleep patterns, movements, and breathing patterns

Raw data locally processed to extract higher level features: *movement count*, *sleep time*, *awake time*, *average respiratory rate*, *sleep apneic episodes*, *sleep orientation (side, back, front/belly)*



"MyADL" smartphone APP







Mobile application that allows to determine **users' activity** from data acquired by smartphone sensors (*accelerometer*, *GPS*, *gyroscope*, etc.) to accompany them in daily life.

Information about user activity is transmitted, evaluated and displayed to the user.





Dashboard

Technology at a Glance









INTEROPERABILITY

Coaching Devices







Gatebox hologram Provides visualization of a virtual coach with a 3D effect



















NAO robot small humanoid robot used for human-robot interaction studies



Technology at a Glance









INTEROPERABILITY

Use Cases Configurator



MALE

NONE

HOBBY ROO





- · User-friendly Graphical User Interface to meet the needs of platform installers, technicians and caregivers.
- Focusing on creating a smart living environment suitable for older people, the tool considers not only their preferences, but also the minimization of costs and the number of sensors without losing measurement accuracy.
- Optimizing the sensor network avoids negative feedback from users in relation to the use of multiple sensors and allows for a low implementation cost.

Integrated into e-VITA Platform

e-VITA IT ~ 1 -Seleziona la religione dell'utente Dashboar Dispositiv G Servizi Cloud 🔝 Info Personali MONITORING - Monitoring the home e... Seleziona l'obiettivo dell'utente/i F Info Mediche and muscle strength L'utente desidera monitorare il proprio sonno - Use Case Configurato MOBILITY OUTSIDE - Sports and activities with local communities Promemoria WALKING EVERYDAY - Walk for 30 L'utente vive da solo o con gualcuno? 🕞 Dialogue manager min at day to improve your resistance, gait speed and step **Q** Classifiche length SUPPORT TO PSYCHOLOGICAL O Documentazion L'utente acconsente all'utilizzo di sensori fissi non invasivi? WELL-BEING - Improvement of overall psychological well-being, self-efficacy

Stand-alone executable programs for Europe and Japan



Example of workflow











Example of output for the **Cognitive training** use case related to the Health coaching domain.

<u>OUTPUT</u>

- **NEU XB-01** (and its ABC App for cognitive training)
- **smartphone** (support device) needed to run the ABC App
- Gatebox, NAO and Google tablet as coaching devices (type choice is left to the end users according to their preferences and costs)



e-VITA Platform Architecture

Dr. Martino Maggio

Engineering Ingegneria Informatica SPA, IT







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e-VITA platform







Main capabilities of e-VITA Platform:

- Connect and integrate the different typologies of devices (sensors, robots, wearable etc) via a set of standard APIs
- Dialogue generation and Data Analysis capabilities, based on the devices' data, provided by specific (AI) modules
- Provide the general capabilities for security and data management.
- Provide Web user interface for the usage and configuration of the platform.



Digital Enabler and e-VITA







The Digital Enabler (DE) is an Internet of Everything platform, powered by FIWARE, for crawling, collecting, analyzing and rendering scattered data coming from heterogeneous data providers. Moreover, it enables multi-domain data integration, harmonization and multidevice interoperability.

- Digital Enabler is the backbone of the e-VITA platform, providing general capabilities related to device management, security, storage, context management and interoperability
- The e-VITA platform specific capabilities have been developed extending of integrating new modules on top of DE
- e-VITA platform has been deployed in two cloud infrastructures in Europe and Japan



e-VITA API



The *e-VITA Manager* is the central component of e-VITA platform managing the main communication among the different other element of the architecture and storing the information.

The e-VITA Manager provides different capabilities and interfaces, via REST APIs, to access them. It works as a middleware component to interact with the components of the **Digital Enabler**. There are five sets of APIs exposed by e-VITA Manager:

- **User API** provides access to user information and allows modification or creation of a new user. The API are based on OAuth 2.0 authorization protocol
- **Device API** allows the visualization and management of devices belonging to a user. functionalities to allow devices to send their measurements or files to the platform and provides access to these measurements, stored as historical data within it. The API are based on OAuth 2.0 authorization protocol
- Service API provides access and management of the cloud services in which a specific category of devices stores its measurements. The API are based on OAuth 2.0 authorization protocol
- **Researchers API** allows a certain category of users, those who have the role of *researcher*, to obtain the historical data of the devices they need. The API are based on OAuth 2.0 authorization protocol
- **Clients API** allows access to the e-VITA services to third-party applications, which are authorized to access them. The API are based on OAuth 2.0 authorization protocol

ervers https://mai	nager.evita.digital-enabler.eng.it/api - Generated server url	Authorize 🔒
user-c	ontroller	^
GET	/user Get logged user information	\sim
PUT	/user Update logged user information	\sim
POST	/user Create a new user adding his personal information	\sim
POST	/user Create a new user adding his personal information /user Remove logged user	~

Interoperability in e-VITA







- Third-Party Applications/Systems { REST } OpenID 2 NGSIv2/NGSI-LD e-VITA Application 👬 M T websocket North-Bound Interoperability Interfaces **M**OPENAPI 🎎 MyData MINIC CSV JSON-LD {JSON} Data Interoperability Smart Data Models MHL7 FHIR e-VITA Device 3 { REST South-Bound websocket Interoperability MOTT Interfaces 2 **Device Proprietary Cloud Devices & Coach**
- An important aspect of the e-VITA solution is the integration of heterogenous devices, data, and software components in a coherent platform.
- The interoperability plays a key role in e-VITA platform and can be identified in three main categories:
 - interoperability related to devices and their interconnection,
 - interoperability related to data formats and semantics,
 - interoperability related to data and functionalities access from external systems and applications.
- The interoperability is achieved by the adoption of open a wide used international standards and technologies

e-VITA devices







- Devices (i.e. wearable, sensors and coaching devices), can be connected in e-VITA in two ways
 - the device sends/receive data using the e-VITA rest API. Data can include multiple information such as measurements or user dialog messages
 - the device sends data to a third-party proprietary cloud and the e-VITA platform collects data from the cloud using proprietary rest API. This scenario is mainly related to wearable and IoT devices of external vendors
- e-VITA platform supports different device brands and new connector can developed to support further devices





e-VITA dashboard

- e-VITA dashboard is the web user interface that allows users to manage and configure the e-VITA capabilities. In particular it allows to:
 - · Connect devices and access to historical device data
 - · Use Dialogue manager to chat with the e-vita coaching system
 - Configure rules and reminders for proactive dialogues
 - · Manage personal information and preferences
 - Manage privacy settings and data access consents for the different e-VITA related services
 - · Access to use case configurator
 - Manage platform configuration and security (only admin users)
- e-VITA dashboard is also accessible by mobile devices allowing people to access e-VITA in mobility









e-VITA privacy management







- CaPe is a consent-based and user-centric open source platform targeted at organizations acting as Data Processors, in the private or public sector.
- CaPe is the solution adopted in e-VITA to manage personal data privacy in the use of Coaching System digital services
- CaPe acts as a mediator between the data provider (the e-VITA platform itself that collects personal data, wearable sensor data etc) and the services that needs to use this data for a specific purpose (e.g. emotion detection, dialogue manager etc)
- Each service that deals with user's personal data has to be previously described by the Service Provider and registered in CaPe. The process of service description allows to define the data that is processed and, also, its purposes with reference to specific privacy statements.
- The user, before the service usage, has to provide his/her consent to grant access to specific set of data for specific purposes.



e-VITA privacy dashboard







- The privacy dashboard allows the final user to provide and manage the consent to the services.
- The privacy dashboard was designed taking in consideration requirements from older adults involved in e-VITA.
- Specifically, the privacy dashboard:
 - Provides users an overview of collected data and specifies the purpose of collection. It enables older people to give informed consent and be aware of the data collection.
 - Enables end users to choose and track which data is being collected and control the data flow (e.g., withdraw consent)





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e-VITA Dialogue Modelling

Dr. Giulio Napolitano

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The project has received funding from the European Union H2O2O Programme under grant agreement no. 101016453. The Japanese consortium received funding from the Japanese Ministry of Internal Affairs and Communication (MIC), Grant no. JPJ000595.

Dialogue system - Overview





- Functionalities
 - *Motivational health coaching*, several domains: safety, nutrition, exercise, psychological, prevention, vitality, cognitive, social
 - Services: information from Wikipedia, news and weather services
- Scripted approach
 - NLU + stories
- LLM approaches
 - RAG technique: LLM restricted to documents
 - Generalised LLM: prompt engineering

Main components









NLU with stories



- User utterances are classified and, where possible, the relevant story is triggered
- Events are triggered simulating specific utterances











story	lighting conditions							
			"I am worried about	I am concerned about	I am anxious about	I am nervous about	I am troubled by the	I am uneasy about the
			the lighting conditions	the illumination levels	the brightness quality	the light intensity	lighting situation	light exposure inside
			inside my home, could	inside my house,	inside my home,	inside my house,	inside my home,	my house, could you
intent	request_lighting_condition_practices	User	you tell me what are	could you inform me	could you explain to	could you advise me	could you teach me	show me what are
			the best practices for	what are the best	me what are the best	what are the best tips	what are the best	the best practices for
			lighting for older	guidelines for lighting	standards for lighting	for lighting for elderly	methods for lighting	lighting for older
			adults?"	for seniors?	for older people?	adults?	for senior citizens?	adults?
action	action_suggest_proper_lighting	Robot	"Absolutely. Good light	ng is important for old	er adults to see clearly	and avoid falls. Here ar	e a few things you can	do to improve the lightin
intent	satisfied_from_bot _answer	User	"That's helpful, thank y	This is helpful, thank y	That's useful, thank yo	That's informative, that	That's beneficial, than	l That's valuable, thank y
action	action_ask_again	Robot	"You're welcome. If you	ı have any other questi	ons or concerns about	indoor lighting or any o	other topic related to in	door safety for older adu



OpenAl LLM use options







The call to OpenAI API may be initiated explicitly or implicitly



RAG: Retrieval Augmented Generation








Dialogue Manager







Core Components

- Regular Dialogues: Typical Stories from RASA
- LLM Assisted Dialogues: Retrieval Augmented Generation, Coaching cycles
- Open end conversation : Use open internet

Dialogue Manager









Dialogue Coaching



Coaching Cycle Concept: Use of RAG via OpenAI LLM, with importance on prompt engineering

• For Not interested person:

Mainly "working on the idea". The goal is to make them aware of the need for behavior change. Increase their knowledge of health behaviors and help them understand the benefits of behavior change and the risks of not doing so. Also, we ask users to express their feelings.

• For Interested person:

The main focus is on "**giving on the idea**". The goal is to motivate them and give them more confidence in their ability to change their behavior. Identify obstacles to behavior change. Continue to increase knowledge of health behaviors.

• For Prepared person:

Main focus is on "working on behavior". Clarify the action plan. Have them make a concrete and achievable plan and be determined to implement the behavior. Once they have started even a little, follow up with them so that their determination does not waver. To encourage the use of self-monitoring, rewards such as points, and social support.

Multilinguality









Knowledge models







The e-Vita coach has various knowledge sources:

- Wikipedia
- Expert provided document library
- Sensor information (dynamic)
- User preferences, likings, coaching styles
- User utterances

Semantically-rich knowledge graphs for coaching

- Develop and employ
- Future comparison with text documents see the side workshop on LLMs and KGs afterward the main conference
- Exploring GPT-model with RAG for Trustworthy Interaction
- distinguish between interested and non-interested users
- provide training plans, but need to check validity
- Dialogue **continuation** needs to be secured



KGs and LLMs

How do they complement each other ? Why Knowledge Graphs are the Future of AI Systems ?

Gérard Chollet, Haoyi Xiong, Graham Wilcock, Eric de la Clergerie, Kristiina Jokinen, Anthony Alcaraz, Christian Dugast, Hugues Sansen, Michael McTear, Maria Inès Torres, Hermann Ney



Some of the recent blogs of Anthony Alcaraz

- KGLM-Loop: A Bi-Directional Data Flywheel for Knowledge Graph Refinement and Hallucination Detection in Large Language Models
- Logical Retrieval with KGs: The Key to Contextual and Intelligent AI
- Why Large Language Models Alone Are Not Enough
- Leveraging Structured Knowledge to Automatically Detect Hallucination in Large Language Models
- Enriching Language Models with Knowledge Graphs for Powerful Question Answering
- Unlocking Whole Dataset Reasoning Why Knowledge Graphs are the Future of Al Systems
- Integrating Large Language Models and Knowledge Graphs: A Neuro-Symbolic Perspective
- Embeddings + KGs: The Ultimate Tools for RAG Systems

KGs & LLMs : a State of the Art

- At least 26 papers published since January 1st, 2024
- More than 178 papers published last year
- 63 papers in 2022, 25 in 2021, 21 in 2020, 10 in 2019,...

Who is publishing ?

- Mostly academics from China, US, Australia, Singapore, Germany, UK, Switzerland, Brazil, Canada, France,...
- Some companies : Meta, Tencent, Baidu,...

Knowledge Graphs and LLMs

Cons:

- Implicit Knowledge
- Hallucination
- Indecisiveness
- Black-box
- Lacking Domainspecific/New Knowledge

Knowledge Graphs (KGs)

Pros:

- Structural Knowledge
- Accuracy
- Decisiveness
- · Interpretability
- Domain-specific Knowledge
- Evolving Knowledge

Pros:

- General Knowledge
- Language Processing
- Generalizability

Large Language Models (LLMs)

Cons:

- Incompleteness
- Lacking Language Understanding
- Unseen Facts

https://arxiv.org/pdf/2306.08302.pdf

https://www.youtube.com/watch?v=1RZ5yIyz31c

• Unifying Large Language Models and Knowledge Graphs: A Roadmap

What is a Large Language Model (LLM) ?

• A language model is a probabilistic model of a natural language

Weaknesses of Large Language Models

Hallucination Black-box Nature Indecisiveness Implicit Knowledge Lacking Domain-Specific/New Knowledge

https://www.linkedin.com/pulse/combining-large-language-models-knowledge-graphs-wisecube/

LLMs: Pros and Cons



What is a Knowledge Graph?

- Triplets:
 - {Source, Destination, Relation}
- Typically a Directed Graph



Strengths of Knowledge Graphs

- Structural Knowledge Representation
- Decisiveness
- Interpretability and Explainability
- Accuracy and Consistency
- Domain-Specific Knowledge Capture
- Evolving Knowledge

Weaknesses of Knowledge Graphs

- Incompleteness
- Unseen Facts and Updates
- Lacking Language Understanding

https://www.wisecube.ai/blog/combining-large-language-models-and-knowledge-graphs/

KGs: Pros and Cons

PROS

- Manual KGs are factual
- Contains explicit alternatives / complementarity / inconsistencies
- Allows reasoning
- Does not always have an answer

- CONS
- Relations are based on hard-coded ontologies
- Intensive manual work for high quality
- To be efficient, KG expansion is task dependent
- Precision impacts flexibility

Unifying Large Language Models & Knowledge Graphs

Large Language Model-Augmented Knowledge Graphs



https://arxiv.org/pdf/2306.08302.pdf

https://www.youtube.com/watch?v=1RZ5ylyz31c

Unifying Large Language Models and Knowledge Graphs: A Roadmap

The challenge: Automatic creation of KGs using LLMs





Fig. 1. Flowchart of the KG Construction Process. This figure illustrates the different steps involved in the construction of the KG. The blue blocks represent the core components of the KG, yellow blocks indicate the embedding process, green blocks focus on keyword extraction, and the red blocks correspond to the establishment of relationships between keywords and the corpus as well as among the keywords themselves.

UCLA

Programme of the afternoon

- 14h20 : Haoyi Xiong Natural Language based Context Modeling and Reasoning for Ubiquitous Computing with Large Language Models
- 14h40 : Graham Wilcock New technologies for spoken dialogue systems: LLMs, RAG and the GenAI Stack
- 15h00 : Eric de la Cergerie Coupling KG and LLM: a few directions
- 15h20 : Kristiina Jokinen Conversational Grounding, Trustworthy AI and Generative AI - Exploring LLMs for Active Healthy Aging
- 15h40 : Anthony Alcaraz Towards Hybrid Reasoning: Assimilating Structure into Subsymbolic Systems
- 16h00 : Christian Dugast AppTek's experience in building ClimateGPT, a factual domain specific LLM
- 16h20 : Hugues Sansen LifeLine
- 16h35 : Discussions starting with comments from Michael McTear and Maria Inès Torres
- 17h00 : Further discussions with a drink,...

Natural Language based Context Modeling and Reasoning for Ubiquitous Computing with Large Language Models: A Tutorial

Haoyi Xiong (Ph.D from TSP 2015) & Daqing Zhang

Email: <u>haoyi.xiong.fr@ieee.org</u> <u>daqing.zhang@telecom-sudparis.eu</u>

About Haoyi Xiong

- Working Experience
 - Baidu Research, Big Data Lab
 - Principal Architect (2020.05—present); Staff Engineer (2018.05—2020.04);
 - Missouri University of Science and Technology, Dept. CS, Rolla Mo, USA
 - Tenure-track Assistant Professor/Ph.D Advisor (2016.08—2018.08)
 - University of Virginia, Dept. CS, Charlottesville VA, USA
 - Postdoctoral Research Associate (2015.07—2016.08)
 - Télécom SudParis CNRS UMR 5157, Evry, France
 - Postdoc (2015.02—2016.06), mentored by Vincent Gauthier

Education Backgrounds

- Ph.D (Computer Science), Télécom SudParis & UPMC Paris VI, 2015
 - Advised by Profs. Monique Becker, Daqing Zhang, and Vincent Gauthier
- M.Sc (Information Technology), Hong Kong University of Science and Technology, 2010
- B.Eng (Electrical Engineering), Huazhong University of Science and Technology, 2009

Outlines

- Foundation Models and LLMs: Trends and fundmentals
- Autonomous Agent: Old Concept but New Implementation
- LLM-driven Context-awareness: enabling pervasive computing with Agents
- Some examples of LLM-driven context-awareness: contexts and prompts

The larger, the stronger (Language Models)

---- LaMDA ---- GPT-3 ---- Gopher ---- Chinchilla ---- Random



We are incredibly fortunate to be living in a **"monotonic" era**, where AI capabilities grow almost monotonically with model size, training data, and computational power.

The unified development paradigm enables the creation of more effective and efficient AI systems, from language to vision and to multimodals, by leveraging the growth in model parameters, training samples, and other resources utilized.

Wei, J., Tay, Y., Bommasani, R., Raffel, C., Zoph, B., Borgeaud, S., Yogatama, D., Bosma, M., Zhou, D., Metzler, D. and Chi, E.H., 2022. Emergent Abilities of Large Language Models. Transactions on Machine Learning Research.

The larger, the stronger (Vision Models)



Zhou, Qiongyi, Changde Du, and Huiguang He. "Exploring the Brain-like Properties of Deep Neural Networks: A Neural Encoding Perspective." Machine Intelligence Research (2022): 1-17. Liu, Zhuang, et al. "A convnet for the 2020s." Proceedings of the IEEE/CVF Conference on Computer Vision and Pattern Recognition. 2022.

Scaling laws (model abilities vs computation capacities)



The computational power per dollar increases **exponentially** over time. (Y-axis: FLOP/s in log-scale)

Marius Hobbhahn and Tamay Besiroglu . Trends in GPU priceperformance. Epoch 2022. The **log-log plots** of *testing losses* versus *computational costs* for training models in different sizes and for different tasks

https://medium.com/@sharadjoshi/everything-you-need-to-know-about-scaling-laws-in-deep-learning-f4e1e559208e

Foundation bricks—transformer & attention mechanism



An example of transformer-based translation

- <u>https://neptune.ai/blog/bert-and-the-transformer-architecture</u>
- <u>https://www.tensorflow.org/text/tutorials/transformer</u>

Transformer: BERT vs GPT



- https://www.youtube.com/watch?v=iFhYwEi03Ew
- https://medium.com/the-modern-scientist/an-in-depth-look-at-the-transformer-based-models-22e5f5d17b6b
- https://lilianweng.github.io/posts/2019-01-31-lm/

A most recent benchmark on Some LLMs

	Average 🔻	Multi-choice Qs 💠	Reasoning 🖨	Python coding 🖨	Future Capabilties 🔶	Grade school math 🝦	Math Problems 🔶
Claude 3 Opus	84.83%	86.80%	95.40%	84.90%	86.80%	95.00%	60.10%
Gemini 1.5 Pro	80.08%	81.90%	92.50%	71.90%	84%	91.70%	58.50%
Gemini Ultra	79.52%	83.70%	87.80%	74.40%	83.60%	94.40%	53.20%
GPT-4	79.45%	86.40%	95.30%	67%	83.10%	92%	52.90%
Claude 3 Sonnet	76.55%	79.00%	89.00%	73.00%	82.90%	92.30%	43.10%
Claude 3 Haiku	73.08%	75.20%	85.90%	75.90%	73.70%	88.90%	38.90%
Gemini Pro	68.28%	71.80%	84.70%	67.70%	75%	77.90%	32.60%
Palm 2-L	65.82%	78.40%	86.80%	37.60%	77.70%	80%	34.40%
GPT-3.5	65.46%	70%	85.50%	48.10%	66.60%	57.10%	34.1%
Mixtral 8×7B	59.79%	70.60%	84.40%	40.20%	60.76%	74.40%	28.40%

Autonomous Agent: Old Concept but New Implementation



Definition of Agent by 1996

LLM-Driven Agents: Memory, Planning and Actions (2023)

Three takeaways

- (Almost) the same definitions,
- The use of LLM for decision-making in planning, and
- The use of external tools for action.
- Brill III FZ. Representation of Local Space in Perception/Action Systems: Behaving Appropriately in Difficult Situations. University of Virginia; 1996.
- <u>https://www.kdnuggets.com/the-growth-behind-llmbased-autonomous-agents</u>

Autonomous Agent: mind behind the trends



Agents enable the LLM a "world model", which

- 1. Needs configuration to structure the brain,
- 2. Interacts with the world with perception & action,
- 3. Leverages short-long term memory to improves decision making,
- 4. Makes decision for action through modeling and reasoning...
- <u>https://www.kdnuggets.com/the-growth-behind-llmbased-autonomous-agents</u>
- <u>https://twitter.com/ylecun</u>



1/N

My position/vision/proposal paper is finally available: "A Path Towards Autonomous Machine Intelligence"

It is available on OpenReview.net (not arXiv for now) so that people can post reviews, comments, and critiques:

openreview.net/forum?id=BZ5a1...



LLM-driven context-awarenss: Anything new?



- Easy-to-Use/Implement: Adopting LUI (Language User Interfaces)+LangChain to interact with users and devices;
- 2. Intelligence: Using LLMs to perform context reasoning;
- **3. Pervasiveness:** Using prompts and texts to model contexts.

Xiong, H., Bian, J., Yang, S., Zhang, X., Kong, L. and Zhang, D., 2023. Natural Language based Context Modeling and Reasoning with LLMs: A Tutorial. arXiv preprint arXiv:2309.15074.

LLM-driven context-awarenss: How does it work?



How every component work with each other:

- The user interacts with the Agent with instructions in the form of texts or voices;
- The agent percpts and reacts with physical words by external sensors, actuators and other tools;
- The Agent prompts LLMs and receives the responses to makes decisions for planning or action.

How we implement the Agent with LangChain

- A conversational agent that
 - handles the user's requests,
 - retrieves/restores data with long-term memory,
 - interacts with LLMs to adjust the action plan,
 - calls external tools to augmented LLMs for decision,
 - follows the generated plan for actioning,
 - egages with sensors & actutators for perception and action.

Xiong, H., Bian, J., Yang, S., Zhang, X., Kong, L. and Zhang, D., 2023. Natural Language based Context Modeling and Reasoning with LLMs: A Tutorial. arXiv preprint arXiv:2309.15074.

Example: Trip Planner



Requirements

- **Perception (personalisation)**: learn to recommend Locations or Point-of-Interests from the user's past travel records.
- **Planning**: Be able to make a schedule of the trip based on the geospatial constraints of the user, e.g., arrivals/depatures and locations of stay.
- Actions: Be able to convert the schedule to an actionable plan, booking or reserving necessarities by incorporating external abilities.

Example of Planning (w. personalisation)

Prompt

Ρ

Prompt	G	GPT-4 Response
Please answer the question by considering descriptions and examples below. \n	В	Based on your past travel records, it seems you appreciate historical sites, soccer, and local markets. So, I
Description: Suppose you are playing a role as a trip planner, which recommends attractions and schedules	in	ncluded these interests into this Paris trip plan. I have also taken into account your time and transportation
itinerary for the user, by considering following issues:\n	C	constraints
 Access internet contents for recommendation and scheduling.\n 	D	Day 1: Arrival and Exploring Local Scene
Learn the user's interests from the past travel records. \n	[1	17:15, 2023-07-23] Take a cab to Le Bristol Paris, time est. 30 mins.
3. Make the schedule satisfy the Itinerary constraints. \n	-	17:45, 2023-07-23] Check into Le Bristol Paris, time est. 15 mins.
4. Consider the time spent and transportation to transit from one location to the next one. \n		19:00, 2023-07-23] Explore the local neighborhood, visit local patisseries and café, time est. 1 hour.
5. Please respond in the format [time stamp] action. location. est. time. \n		20:00, 2023-07-23] Dinner at Epicure, time est. 2 hours.
Past travel records: Rome, Italy: S.S. Lazio (Stadio Olimpico), Trionfale, and the Pantheon;\n Barcelona,		Day 2: Research, Sightseeing, and Banquet
Catalonia: La Boqueria, FC Barcelona (Spotify Camp Nou). \n		09:00, 2023-07-24] Breakfast at café, time est. 30 mins.
Itinerary constraints: [17:10, 2023-07-23] Train Arriva: at Gare de SaintCloud.\n [10:00, 2023-07-24]		10:00, 2023-07-24] Attend research talk at Weizmann France, time est. 2 hours.
Research talk, Weizmann France.\n [20:00, 2023-07-24] Banquet, Le Pavillon Des Princes.\n [13:00, 2023-		12:30, 2023-07-24] Lunch at Le Jules Verne, time est. 1 hour 30 mins.
07-25] Flight Departure from CDG Airport.\n		14:30, 2023-07-24] Visit the Eiffel Tower, time est. 2 hours.
Examples:\n replaceables	-	17:00, 2023-07-24] Visit PSG (Parc des Princes), time est. 1 hour.
[17:00, 2011-01-01] Check out and Leave Waldorf Astoria New York, time est. 10mins.\n		19:00, 2023-07-24] Prepare for Banquet at the hotel, time est. 1 hour.
[17:10, 2011-01-01] Take an Uber to Per Se for dinner, time est. 20 mins. \n	-	20:00, 2023-07-24] Banquet at Le Pavillon Des Princes, time est. 3 hours.
[17:30, 2011-01-01] Enjoy the dinner, 1 hours.\n		Day 3: Sightseeing and Departure
[18:30, 2011-01-01] Check out Per Se, time est. 5 mins.\n		09:00, 2023-07-25] Visit Louvre Museum, get a chance to see the Mona Lisa, time est. 2 hours.
[18:35, 2011-01-01] Take an Uber to LaGuardia airport, 40 mins.\n		11:30, 2023-07-25] Early lunch at L'Ambroisie, time est. 1 hour.
[19:15, 2011-01-01] Check in for the flight, 20 mins.\n		13:15, 2023-07-25] Transfer to CDG Airport, time est. 45 min.
Question: Please make a trip plan to Paris and schedule the itinerary, recommend the hotels to stay,		14:00, 2023-07-25] Check-in for the flight, time est. 1 hour.
attractions to visit, restaurants for every meal, snakes and café. \n		Please note that estimations are dependent on factors such as traffic and queues at the attractions. This
Answer:	p	blan incorporates a mix of local culture, football, and historical architecture

Without tunning the GPT-4 model, the Agent could make personalized trip planning according to his/her past trip records

- Profile the user from his/her past travel records,
- Understand his/her interests in traveling from the profiles,
- "Implicitly" match the potentional point of interests and the user's profile,
- Make personalized recommendation while satisfying the itinerary constraints, all in once!
- Context information (for personalisation and constraints) are replacable in a template...

Example of Actioning (thru. Code generation)

Prompt

Please answer the question by considering descriptions and examples below.\n Description: Suppose you are playing a role as a program for trip reservations, which calls taxi, books hotel, reserves restaurants by calling APIs. The APIs are as follows.\n 1. Bookings.bookHotel(UserID, HotelName, CheckinDate, CheckoutDate)\n 2. Uber.reserveTaxi(UserID, DepartureLocation, DestinationLocation, DepatureTime)\n 3. Yelp.reserveMeal(UserID, RestaurantName, ArrivialTime)\n 4. Amadeus.ticketing(UserID, AttractionName, VisitTime)\n Example:\n Question: Please reserve meals, hotel rooms, taxis, and tickets of attractions for every schedule in the itinerary. \n

Answer:

GPT-4 Generated API Calls for Reservations

Bookings.bookHotel(UserID, 'Le Bristol Paris', '2023-07-23', '2023-07-25') Uber.reserveTaxi(UserID, 'Gare de SaintCloud', 'Le Bristol Paris', '2023-07-23 17:15') Yelp.reserveMeal(UserID, 'Epicure', '2023-07-23 20:00')

```
Yelp.reserveMeal(UserID, 'Café near Le Bristol Paris', '2023-07-24 09:00')
Yelp.reserveMeal(UserID, 'Le Jules Verne', '2023-07-24 12:30')
Amadeus.ticketing(UserID, 'Eiffel Tower', '2023-07-24 14:30')
Amadeus.ticketing(UserID, 'Parc des Princes', '2023-07-24 17:00')
```

Amadeus.ticketing(UserID, 'Louvre Museum', '2023-07-25 09:00') Yelp.reserveMeal(UserID, "L'Ambroisie", '2023-07-25 11:30') Uber.reserveTaxi(UserID, 'Le Bristol Paris', 'CDG Airport', '2023-07-25 13:15')



Code generation and enviornment to run

By referencing the API definitions, the Agent generates codes to book tickets and reserve meals and taxis.

- Use short-term memory to recall the plan (generated in the last round of conversation),
- Call right API to do right things,
- Automatically fill the attributes for API calls,
- Turn the plan to an actionable.

*This example might be over-simplified, one more call to look-up the location ID by the name of every location could be used.

Key takeaways

- LLMs
 - Be able to respond your requests through completing the dialogue;
 - Be able to follow the instructions from a user when "prompted";
 - Know some "ingredients" of the world by pre-training, understand some specific domains by supervised fine-tuning (SFT).
- Agents
 - Encapsulate pre-trained/fine-tuned LLMs with pre-defined sets of workflows (control flows & procedures);
 - Formate the instructions to LLMs with prompt templates, while filling the replacables inside the template with the user's request,
 - Be able to resolve complex tasks through step-by-step planning, be able to make action through leveraging external tools.
Thank you!

New Technologies for Spoken Dialogue Systems: LLMs, RAG and the GenAl Stack

Graham Wilcock

University of Helsinki

With CityTalk, Robots Search Knowledge Graphs



From Conversational AI to Generative AI

Conversational AI

- Example open source tool: Rasa open source Conversational AI.
- Successful for domain-specific dialogue systems, not open domain.
- Transformers enabled successful domain-specific NLU.
- NLG in Rasa has mainly been done by template-based generation.

• Generative AI

- Example open source tools: Llama2, CodeLlama, LangChain.
- Potential for success with open-domain dialogue systems.
- LLMs can be successful for open-domain NLU.
- LLMs can also be successful for open-domain NLG.

Retrieval Augmented Generation (RAG) from Documents





RAG with GenAl Stack

GenAI Stack (default options)

Graph database: Neo4j in Docker Vector database: Neo4j in Docker Embeddings: SentenceTransformers Local LLM: Llama2 from Ollama Document loaders: LangChain Text chunking: LangChain Conversation memory: LangChain User interface: Streamlit

Image: Tomaz Bratanic

RAG from PDFs with GenAI Stack



Chat with your pdf file

Upload your PDF



Drag and drop file here Limit 200MB per file • PDF



IWSDS-2016-65.pdf 77.4KB

Ask questions about related your upload pdf file

Can robots speak the Sami language spoken in Lapland?

Yes, robots can speak the Sami language spoken in Lapland. The DigiSami project is currently underway to develop speech recognition and synthesis components for North Sami, one of the indigenous languages spoken in Lapland. These components are being developed using a new corpus provided by the University of Tromsø, and will be integrated into a humanoid robot called SamiTalk. Once completed, SamiTalk will be able to hold spoken dialogues with users in North Sami, access information from the Sami Wikipedia, and talk about requested topics using the Wikipedia texts.

×

Browse files

RAG from Wikipedia with GenAI Stack



Chat with Wikipedia

Ask questions about Wikipedia article (William Shakespeare)

Did he have any children, grandchildren, or other descendants?

Yes, William Shakespeare had at least two known children:

- 1. Hamnet Shakespeare (baptized February 2, 1585 died August 11, 1596)
- 2. Judith Shakespeare (twin of Hamnet, baptized February 2, 1585)

Hamnet's death at the age of 11 was a significant event in Shakespeare's life, and it is believed to have had an impact on his writing. However, there is no direct evidence that he had any other children or descendants.

It is worth noting that the exact number of Shakespeare's children and their names are not known for certain, as there are limited historical records available. Some scholars believe that Shakespeare may have had additional children beyond Hamnet and Judith, but this is purely speculative at this point.

CityTalk: RAG from Knowledge Graphs



CityTalk Knowledge Graphs with Taxonomies (extract)



RAG from Knowledge Graphs (GraphCypherQAChain)



Neo4j Knowledge Graph

Chat with CityTalk

Ask questions about restaurants in Tokyo Waterfront

Are there any restaurants in Daiba that serve European cuisine?

Yes, there are several restaurants in Daiba that serve European cuisine. Here are a few options:

- Pesche Doro
- Ristorante Storia
- To the Herbs
- Cafe La Boheme

All of these restaurants serve Italian cuisine, which is a type of European cuisine.

From Conversational AI to Generative AI

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• Generative AI

- Example open source tools: Llama2, CodeLlama, LangChain.
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- LLMs can be successful for open-domain NLU.
- LLMs can also be successful for open-domain NLG.

Coupling KG and LLM: a few directions



Éric de la Clergerie <Eric.De_La_Clergerie@inria.fr>

Almanach/INRIA



e-ViTA workshop on Knowledge Graphs and Large Language Models Évry, March 8th, 2024

I DOG

1 Introduction

- 2 LLMs for « base » Conversion/Translation tasks
- Integration
- Interaction
- 5 Conclusion

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Huge amount of unstructured textual sources, used by LLMs but also large amount of structured knowledge sources

- Semantic WEB
- Linked Open Data (LoD) : DBPEDIA : 9.5B triples, WIKIDATA : 108M items
- many specialized and local knowledge bases, potentially derived from other structured knowledge sources (e.g. SQL DB)



difference (difference) Entitives (Entitives) Entitives (Entitives) Entitives) Entitives (Entitives) Entitives)
Entitives (Effect of plucages on blood pluces level in patients with with hepatible).
Sentences (Effect of plucages on blood pluces level in patients with with hepatible).



credit: Knowledge Graph-based Question Answering with Electronic Health Records

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Exploiting both data sources in a single setting

Many tasks may benefit from coupling language (LLMs) and knowledge (KGs) :

- access to more precise information (controlling hallucinations)
- access to up-to-date and/or private data
- entity linking (including disambiguisation)
- question answering
- graph-based reasoning



credit: Unifying Large Language Models and Knowledge Graphs: A Roadmap

How to do it? Two main approaches (but many variants!) :

- Integration : coupling done at training time, coded in the parameters of a model (X-enhanced Y-model, fused model)
- Interaction : coupling done at inference time, through communications between two models

Introduction

2 LLMs for « base » Conversion/Translation tasks

Integration

Interaction

5 Conclusion

Graph Linearization : from graph to text

- already useful to generate more readable texts from graph fragments
- could be done without LLMs but more diversity and fluency with LLMs



credit: Few-shot Knowledge Graph-to-Text Generation with Pretrained Language Models



credit: Investigating Pretrained Language Models for Graph-to-Text Generation

From Natural Language queries to Graph queries

Formulating graph queries (SPARQL) may be complex Could be done assisted with LLMs

Vous

Write me a WikiData SPARQL query that finds all human genes

0

ChatGPT

To create a SPARQL query for WikiData that finds all human genes, you need to target items that are instances of "gene" (`**q1187**`) and associated with the human species (`**q15978631**`). The query would look something like this:



credit: author

SPARQA: Skeleton-based Semantic Parsing for Complex Questions over Knowledge Bases

INRIA	Éric de la Clergerie	Coupling KG & LLM	8/3/2024	7 / 19	• • • • • • • • • • • •	≣ ►	≣ ૧૧૯
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Introduction

2 LLMs for « base » Conversion/Translation tasks



Interaction

5 Conclusion

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Enriching KGs

Keeping KGs but enriching them with triples extracted from textual data through base LLMs or (Neural) Open Information Extraction



Coupling KG & LLM

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KG-enhanced LLMs

Transferring knowledge from KGs to LLM at pre-training time by linearizing graph triples or (random-walk) graph paths



credit: Language Models as Knowledge Bases: On Entity Representations, Storage Capacity, and Paraphrased Queries

in other words : one try to memorize KBs inside LLMs ! but LLMs only memorize frequent facts → unsafe against hallucinations !

also a way to do data augmentation with synthetic documents e.g. instruction tuning on artificial but realistic queries and their answers

Embeddings for KGs (or GNNs)

Embeddings may be computed on KGs based on their structure (random walks) but can also be enriched with (more semantic) LLM-based embeddings





using LLM-embeddings

Join pre-training in fused models

Contextual vector representations jointly learned on aligned texts and graphs masking elements on one side may benefit from the aligned other side

 \rightsquigarrow fused models with

- two separate attention-based pipelines (Text and Graph)
- followed by one or more merging layers (cross-attention)



credit: ERNIE 3.0: LARGE-SCALE KNOWLEDGE ENHANCED PRE-TRAINING FOR LANGUAGE UNDERSTANDING AND GENERATION

Input Text

コトメ母トメミト ミ わへ(

Knowledge Graph

Introduction

2 LLMs for « base » Conversion/Translation tasks

Integration

Interaction

5 Conclusion

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RAG on KGs

Retrieval-Augmented Generation (**RAG**) : Given a query Q, documents most similar to its embedding e_Q are retrieved and added to Q as input to a LLM



RAG may be adapted to KGs by retrieving **graph nodes or sub-graphs**, **linearize** them and add them to LLM context



Augmented LLM querying KGs

Actually, LLMs may « query » KGs for information to be added to go further



- queries may be just entities, or more complex SPARQL queries
- several cycles of interactions between LLM and KG may occur (extending chain-of-thought [CoT] ideas, and X-of-thought variants)

Also multi-hop reasoning



credit: Think-on-graph: Deep and responsible reasoning of large language model with knowledge graph

16/19

LLM-guided graph reasoning

Besides queries and answers, LLMs may also be used

- generate hints to guide graph reasoning (reducing search space)
- generate explanations from retrieved sub-graphs



credit: Reasoning on Graphs: Faithful and Interpretable Large Language Model Reasoning

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Introduction

- 2 LLMs for « base » Conversion/Translation tasks
- Integration
- Interaction
- 5 Conclusion

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Conclusion

- Language and Knowledge should play together
- Many ways to do it but interaction much richer than integration !
 - KGs flexible source of (local/dynamic/private) knowledge and allow for graph-based reasoning algorithms
 - LLMs generating queries and hints to navigate graphs
 - LLMs generating fluent answers (including sub-graph linearization)
- In other words, KGs provide access to accurate facts LLMs provide language skills and some process knowledge
- Probably useful to "colorize" a LLM for a given KB (~ domain adaptation) fine-tuning & instruction-tuning using KB's schema and vocabulary (weak integration)
- Maybe worth investigating specialized Language Models (as **agents**) wrapped around Knowledge Bases

8/3/2024

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Conversational Grounding, Trustworthy Interaction and Generative AI

Exploring LLMs for Active Healthy Aging

Kristiina Jokinen AIRC, AIST Tokyo Waterfront

LLM-KG Workshop

March 8, 2024

Paradigm Shift in Dialogue Modelling






Paradigm Shift in Dialogue Modelling

- 1. Using large language models
 - OpenAI: ChatGPT (now based on GPT4)
 - Meta: LLaMA (Large Language Model Meta AI)
 - Google: LaMDA (Language Model for Dialogue Applications)
 - Huggingface: ChatGPT (based on GPT3.5-turbo)
- 2. Using knowledge graphs
 - Data provenance: knowledge curated by humans
 - Truthfulness: Wikipedia, Wikidata taxonomy, taxonomies and ontologies for data augmentation
 - Symbolic representation of objects, events, relations
 - Graph search, Graph-to-text generation
- 3. Practical applications
 - Balance between fluency and reliable information
 - Support for various tasks besides providing useful information, send reminders, possibly give physical support





Starting Point

- Explore suitability of LLMs in practical real-world application of coaching
 - Coaching documents, prompt design, the user role
- Results:
- The GPT-model can distinguish between interested and non-interested users based on the prompt instructions and the documents provided
- Able to provide training plans and verbal descriptions of the information used
- However:
- Content providers need to check validity of the interactions, training plans, etc.
- Dialogue continuation needs to be secured
- **Trustworthy** reliable information
- Other issues that require further studies
 - network issues, rate limits, cost aspects, personal information
 - anthropomorphisation of the assistant, verbal imitation of the language





Error types in human-robot interactions



False implications **Ontological errors** Theory of Mind errors Speech recognition errors • Different perspectives of the world • Not LLM errors, but escalate the false False information and nonsense Repeated questions about the same (Baron-Cohen 1991) information problem answers (LLM "hallucinations") search parameter => => => => partitioning of knowledge bases into speech results should not be directly impression that there are items in the lack of knowledge on semantic private vs shared beliefs used as LLM input hierarchies, real world geography, database that fulfil the user's request, • Grounding of shared information although none exist synonyms, relations, ...

Solutions:

- More flexible knowledge graph searches,
- Adding semantic metadata to knowledge graphs
 See video: <u>https://www.youtube.com/watch?v=QI5nbap5cRs</u>

Wilcock and Jokinen: To err is robotic; to earn trust, divine: comparing ChatGPT and knowledge graphs. RO-MAN conference August 2023.

Towards Harnessing Large Language Models for Comprehension of Conversational Grounding

International Workshop on Spoken Dialogue System Technology IWSDS-2024

Sapporo 4-6 March, 2024

Kristiina Jokinen¹, Phillip Schneider², Taiga Mori¹ ¹Al Research Center AIST, ²Technical University of Munich





Conversational Grounding



General definition:

Conversational grounding is a collaborative mechanism for **establishing mutual knowledge** among participants engaged in a dialogue

- Dialogue acts represent the communicative intention or function of a person's utterance, which can classify types of grounding
 - Explicit grounding: direct verbal feedback (e.g., "OK, great." or "Thanks!")
 - Implicit grounding: confirmation by moving forward with the conversation (e.g., inquiring about another concept)
 - Clarification: resolve uncertainty before moving forward with the conversation (e.g., clarifying a concept that was just introduced)







Annotation, Model Configuration and Prompts

- Preliminary analysis of a LLM in classifying grounding-related dialogue acts and extracting grounded knowledge elements
- We used an exploratory search dialogue corpus between two human participants that exchange information about a domain-specific tabular dataset (e.g., nature parks, media, nutrition, ...)
- In the corpus, information seeker (S) and provider (P) built up mutual knowledge about the tabular information in a chat room.
- Two researchers annotated grounding types (explicit, implicit, or clarification) and grounded knowledge elements in a JSON structure.
- We used the GPT-3.5-Turbo LLM for classifying the grounding type and extracting grounded knowledge.
- The system message contained the instruction and few-shot prompt, and the user message contained the complete conversation history up to the current turn.
- The token limit and the temperature were set to 256 and 0, respectively





Prompts

Classification Few-Shot Template

Predict the grounding label, representing when knowledge has been mutually grounded, for the last turn in the 'Input dialogue:'. The label can be 'explicit' if knowledge is verbally accepted, 'implicit' if accepted by moving forward with the conversation, or 'clarification' if a previous utterance must be clarified before acceptance.

USER: Input dialogue: seeker: Can you tell me about the dataset's content? provider: The dataset contains information about planets in our solar system. seeker: What is the number of columns in the dataset?

ASSISTANT: Output label: implicit



Information Extraction Few-Shot Template

Predict the newly grounded knowledge for the last turn in the 'Input dialogue:'. Use the JSON structure: {'table domain': str, 'table content': str, 'row count': int, 'column count': int, 'column info': [{'column name': str, 'values': [], 'distinct count': int, 'min value': int, 'max value': int}]}. Adhere strictly to the JSON structure, and only predict the attributes mentioned in the dialogue turns, leaving unmentioned attributes as null.

USER: Input dialogue: seeker: Can you tell me about the dataset's content? provider: The dataset contains information about planets in our solar system. seeker: What is the number of columns in the dataset?

ASSISTANT: Output JSON: {'table content': 'planets of the solar system'}

...





Results

- In the grounding type classification task, GPT-3.5-Turbo encountered challenges.
 - Explicit grounding was mostly correctly classified as in turn 7 of Dialogue B because it can be observed in the text in forms such as OK and great.
 - Implicit grounding and clarification were easily confused as in turn 8 of Dialogue A as both can involve questions and require contextual dialogue understanding.
 - There were two instances where the LLM predicts explicit grounding despite them being questions related to clarification or implicit grounding as in turn 5 of Dialogue B.
- Linguistic phenomena like co-reference and ellipsis might have added another level of complexity to classifying these grounding acts.
- In the grounded knowledge extraction task, GPT-3.5-Turbo demonstrated better overall performance.
 - The LLM accurately gathers the relevant information as in turn 4 of Dialogue A even though it mixes up the similar attributes "table domain" and "table content".
 - The model adeptly handles numerical information, successfully determining the number of rows in a table or counts of unique values for specific columns as in turn 6 of Dialogue A.



Utterance	Grounding Type	Grounded Knowledge					
Dialogue A							
4 S: How many rows are there in the dataset?	/=/	{'table domain': 'time travel works of fiction'} #{'table content': 'time travel works of fiction'}					
5 P: 500							
6 S: What are the attributes of the dataset?	E≠I	{'row count': 500}={'row count': 500}					
7 P: year, title, author, short text description							
8 S: Is there no column for the type of the work? How then can I determine if a work is a novel or a film?	I≠C	{'column names': ['year', 'title', 'author', 'short text description', 'type of work']}≠{'column names': ['year', 'title', 'author', 'short text description']}					

Table 1 Results of model predictions for sample dialogues. Seeker (S) and provider (P) roles are abbreviated for each numbered turn. Explicit (E), implicit (I), and clarification (C) grounding labels and shortened grounded knowledge are denoted as follows: prediction ($= \oplus \neq$) ground-truth.

D	ialogue B	
3 S: What is the dataset about in general?		
4 P: The dataset contains information about 98 nature parks in Germany. You can find in this dataset the name of the park, its year of establishment, its area etc.		
5 S: thanks, so if I understood correctly the dataset contains 3 columns, right? name of park, year, area	E≠C	{'table content': 'information about 98 nature parks in Germany', 'column names': ['name of park', 'year', 'area']} ={'table content': 'nature parks in Germany', 'column names': ['park name', 'year', 'area']}
6 P: There are other attributes as well. Here are all the attributes: park name, the German state where the park is in, year of establishment, area in km2, and short text summary.		
7 S: great!	E=E	{'column names': ['park name', 'German state', 'year of establish- ment', 'area in km2', 'short text summary']}={'column names': ['park name', 'year', 'area', 'state', 'short text summary']}

Table 1 Results of model predictions for sample dialogues. Seeker (S) and provider (P) roles are abbreviated for each numbered turn. Explicit (E), implicit (I), and clarification (C) grounding labels and shortened grounded knowledge are denoted as follows: prediction (= $\oplus \neq$) ground-truth.







Exploring a Japanese Cooking database

A robot uses GenAl and a knowledge graph to chat about culinary delights

19th Annual ACM/IEEE International Conference on Human Robot Interaction 2024



Kristiina Jokinen Al Research Center AIST Tokyo Waterfront Graham Wilcock CDM Interact and University of Helsinki







Contributions

- Build a knowledge graph in a Neo4j graph database from the existing open-source database (Kyoto culinary database)
- Enable interaction based on the KG and the latest advances in LLMs using GenAl
- Demonstrate a multilingual approach to developing applications by integrating modules and knowledge sources created in a different language than the application
- Support diversity by multilinguality in human-robot interaction





KG construction: Kyoto culinary database

- Basic Cuisine Knowledge Base constructed at the Kyoto University in the joint project "Research on Knowledge Database Construction for Dialogue Processing" by the National Institute of Advanced Industrial Science and Technology (AIST), Kyoto University, and Panasonic Corporation.
- The knowledge base contains synonyms, ingredients, cooking methods, and attributes for approximately 400 basic dishes, selected from the "Cookpad Data", based on frequency and cooccurrence.
- Follows the notation of ConceptNet [26] except the relation types are different
- Attributes of the dishes include crowd-sourced surveys of cooking impressions, making the knowledgebase a realistic as well as locally and culturally reliable knowledge source for Japanese cuisine
- The database is in Japanese, and publically available.
- Supports multilingualism





Attribute values and attributes for konpiragobou (braised burdock root) with relation confidence scores.

- ・ 人参と一緒/102/材料関連/0.5
- ・ <u>食物繊維が多い</u>/103/<u>栄養素</u>/1.0
- ・ <u>食物繊維が豊富/103/栄養素/1.0</u>
- 日本料理/111/国・地域/0.5
- お弁当の脇役/121/料理ジャンル/1.0
- ・ 惣菜の鉄板/121/料理ジャンル/0.5
- 定食屋で食べる/221/場所/0.5
- あまから/251/味/0.5
- しょっぱい味/251/味/0.5
- 歯応えがある/252/食感/0.5
- メインのおかずではない/261/印象/0.5
- お母さんの作ってくれるご飯/301/作り手/0.5
- 自分では作らない/301/作り手/0.5
- アク抜きが必要/311/調理法・工程/0.5

- With carrots/102/Material related/0.5
- High in dietary fiber/103/Nutrients/1.0
- Rich in dietary fiber/103/Nutrients/1.0
- Japanese cuisine/111/Country/Region/0.5
- Side dish for bento/121/Cooking genre/1.0
- Side dish for teppan-yaki/121/Cooking genre/0.5
- Eating at a set meal restaurant/221/Place/0.5
- Sweet and salty/251/Taste/0.5
- Salty taste/251/Taste/0.5
- Chewy/252/Texture/0.5
- Chewy, tough/252/Texture/0.5
- Not the main side dish/261/Impression/0.5
- Food cooked by mother/301/Creator/0.5
- I don't make it myself/301/Maker/0.5
- Requires removal of scum/311/Cooking method/Process/0.5





KG construction: *kinpira-kobou* in the Neo4j knowledge graph (braised burdock root)







LangChain Architecture for Neo4j Knowledge Graph



Image by Tomaz Bratanic 2023, from *neo4j_cypher*, LangChain Templates





Tell me six different Japanese dishes

```
> Entering new GraphCypherQAChain chain...

Generated Cypher:

MATCH (d:Dish {region: '日本'})

RETURN d.name AS dish

LIMIT 6

Full Context:

[{'dish': 'あさりの酒蒸し'}, {'dish': 'あんぱん'}, {'dish': 'いちご大福'}, {'dish': 'いなり寿司'}, {'dish': 'おこわ'},

{'dish': 'おじや'}]
```

> Finished chain. Response: Sure, here are six different Japanese dishes you might enjoy: あさりの酒蒸し (Asari no sake-steamed), あん ぱん (Anpan), いちご大福 (Ichigo daifuku), いなり寿司 (Inari sushi), おこわ (Okowa), おじや (Ojya).





What cooking method is used for Okonomiyaki?

```
> Entering new GraphCypherQAChain chain...
Generated Cypher:
    MATCH (d:Dish {name: 'お好み焼き'})-[:HasMethod]->(m:Method)
RETURN m.name AS method
Full Context:
    [{'method': '炒める'}, {'method': '揚げる'}, {'method': 'おろす'}, {'method': '焼く'}]
> Finished chain.
Response: Sure, here's a friendly response:
炒める、揚げる、おろす、焼く! That's right, Okonomiyaki is made using a combination of these four
cooking methods! Q. 10
```





Demo

H: Tell me six different Japanese dishes.

R: Sure, here are six different Japanese dishes you might enjoy: Asari no sake-steamed, Anpan, Ichigo Daifuku, Inari sushi, Okowa (glutinous rice mixed with meat or vegetables), Ojiya (rice soup)

H: What ingredients are used to make Okonomiyaki?

R: The following are some of the main ingredients used to make Okonomiyaki is made with a delicious blend of ingredients, including soy sauce, cornstarch, cabbage, mountain yam, flour,...

H: What cooking method is used for Okonomiyaki?

R: itameru, ageru, orosu, yaku. That's right! Okonomiyaki is made using a combination of these four cooking methods: stir-fry, deepfry, grating of vegetables, baking.







Random Thoughts

- Different types of knowledge source => Information needs to be validated
- New risks with Language-Capable Robots
 - Identity, privacy, trust and influence Tom Williams et al.: Voice in the Machine: Ethical Considerations for Language-Capable Robots. CACM 2023/8
- Sustainable multimodal language processing (Bender et al. 2021)
 - Emily M. Bender, Timnit Gebru, Angelina McMillan-Major, and Shmargaret Shmitchell. 2021. On the dangers of stochastic parrots: Can language models be too big? FAccT '21, pages 610–623, New York, USA. Association for Computing Machinery.
 - Powerful laptops which run the model
- Use for grounding and building common ground in interaction research
 - Conversational grounding (see our poster),
 - Grounding to the real-world sensor information



Are we not yet there?

Extensive research on LLM properties, techniques etc.

- 1. Reliability of the LLM assistant's answers in a given domain
 - Open-source models, RAG, KGs, transparent operation
- 2. Grounding of responses in real-world representation,
 - Not just "stochastic parrots"
- 3. Interactive learning and adversarial learning to control the LLM agent's behaviour,
 - Frameworks that better match real-world use cases
- 4. Evaluation of the assistant's responses, supporting ethical and sustainable practices in building and using the assistant
 - Understanding and systematic testing of the model capabilities, limitations, potential misuse









Thank you!

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Towards Hybrid Reasoning: Assimilating Structure into Subsymbolic Systems

https://medium.com/@alcarazanthony1/towards -hybrid-reasoning-assimilating-structure-intosubsymbolic-systems-05cf9d34d13d?sk=aeed32393c790b67cf14b6e 090876406

January 2024

Overview:

Recent advances in large language models (LLMs) show **impressive fluency** and **adaptability**

But LLMs struggle with deeper reasoning requiring:

- Compositional generalization
- Sustained causal chains
- Creatively hypothesizing mechanisms

Knowledge graphs provide **structured representations** to address these gaps

However, knowledge graphs have challenges with:

- Scale
- Noise
- Incompleteness
- Sparsity

Proposes a **coordinated approach** leveraging strengths of both representations



Compositionality Challenges for LLMs:

Brittle combination of modular causal knowledge fragments

Cannot reliably track intermediate conclusions across long causal chains

Struggle to **smoothly transition between interconnected causal** chains

Lack capacities for actively simulating and testing causal hypotheses

LANGUAGE MODEL AGENTS SUFFER FROM COMPOSI-TIONAL GENERALIZATION IN WEB AUTOMATION

Hiroki Furuta^{1,2+} Yutaka Matsuo² Aleksandra Faust¹ Izzeddin Gur Google DeepMind 2 The University of Tokyo uta@weblab.t.u-tokyo.ac.jp

ABSTRACT

Language model agents (LMA) recently emerged as a promising paradigm on muti-step decision making tasks, often outperforming humans and other reinforcement learning agents. Despite the promise, their performance on real-world applications that often involve combinations of tasks is still underexplored. In this work we introduce a new benchmark, called CompWoB - 50 new compositional we automation tasks reflecting more realistic assumptions. We show that while ex isting prompted LMAs (gpt-3, 5-turbo or gpt-4) achieve 94.0% average success rate on base tasks, their performance degrades to 24.9% success rate or compositional tasks. On the other hand, transferred LMAs (finetuned only or base tasks) show less generalization gap, dropping from 85.4% to 54.8%. By balancing data distribution across tasks, we train a new model, HTML-T5++, that surpasses human-level performance (95.2%) on MiniWoB, and achieves the best zero-shot performance on CompWoB (61.5%). While these highlight the promise of small-scale finetuned and transferred models for compositional generalization their performance further degrades under different instruction compositions chang ing combinational order. In contrast to the recent remarkable success of LMA, ou benchmark and detailed analysis emphasize the necessity of building LMAs that are robust and generalizable to task compositionality for real-world deployment.

1 INTRODUCTION

Based on the exceptional capability of large language models (LLMs) (OpenAl, 2023; Anil et al. 23; Touvron et al., 2023) in commonsense understanding (Brown et al., 2020; Chowdhery et al. 22), multi-step reasoning (Wei et al., 2022; Kojima et al., 2022), program synthesis (Chen et al. 21) and self-improvement (Shinn et al., 2023; Madaan et al., 2023; To et al., 2023), language model agents (LMA) have recently emerged to tackle various decision making problems, such as Ahn et al., 2022), information retrieval (Nakano et al., 2021; Yao use (Wu et al., 2023; Shen et al., 2023; Lu et al., 2023). Especially, 022b), and external tool use (Wu et al. in web automation (Shi et al., 2017), LMAs with prompting (Kim et al., 2023; Sun et al., 2023 The web automation (sin (et al., 2017), Lends with promping (kin (et al., 2023), Surf et al., 2023). Zheng et al., 2023) outperform humans and other learning-based agents, such as reinforcement learning (Humphreys et al., 2022) or finetuned language models (Gur et al., 2022; Furth et al., 2023).

Despite their proficiency in MiniWoB (Shi et al., 2017), a standard web automation benchmark, it is still unclear whether LMAs could deal with challenges in the real world: such as complex observation (Gur et al., 2023), domain generalization (Deng et al., 2023), and ambiguity of instructions (Zho

In this work, we extensively study the generalization of LMAs to more realistic task compositions. We first design a new controlled test bed, called CompWoB, with 50 compositional tasks by combining a set of base tasks based on their difficulty (Figure 1). Each compositional task is implemented from 2 to 8 base tasks in a single-page or multi-page environment with instructions linked together using simple connectors such as "and then". Only providing the knowledge about base tasks, we investigate the generalization performance of existing SoTA prompted LMAs (Kim et al., 2023; Sun et al., 2023);

*Work done as Student Researcher at Google.

Causal Reasoning and Large Language Models: Opening a New Frontier for Causality

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Abstract

Our experiments do not imply that complex causal rea-The causal capabilities of large language models (LLMs) soning has spontaneously emerged in LLMs. However, is a matter of significant debate, with critical implications in capturing common sense and domain knowledge about for the use of LLMs in societally impactful domains such causal mechanisms and supporting translation between as medicine, science, law, and policy. We further our natural language and formal methods, LLMs open new understanding of LLMs and their causal implications, considering the distinctions between different types of causal of causality reasoning tasks, as well as the entangled threats of con-

methods establish new state-of-the-art accuracy on mul-1 Introduction tiple causal benchmarks. Algorithms based on GPT-3.5

Recent advances in scaling large language models (LLMs) discovery task (97%, 13 points gain), counterfactual reahave led to breakthroughs in AI capabilities. As language soning task (92%, 20 points gain) and actual causality (86% models increase in number of parameters and are trained accuracy in determining necessary and sufficient causes in on larger datasets, they gain complex, emergent behav vignettes). At the same time, LLMs exhibit unpredictable failure modes and we provide some techniques to interpret and demonstrate strong performance in certain reasoning Crucially, LLMs perform these causal tasks while rely-Crucially, LLMs perform these causal tasks while rely-ing on sources of knowledge and methods distinct from and complementary to ano LLM based ensembles. Chem et al., 2021; Nguyen & Nadi, 2022; Bubeck et al., 2023; Katz et al., 2023; Wei et al., 2022a). Imprescomplementary to non-LLM based approaches. Specifisively, when asked to explain their outputs, update their cally, LLMs bring capabilities so far understood to be conclusions given new evidence, and even generate counrestricted to humans, such as using collected knowledge to generate causal graphs or identifying background causal generate causal graphs or identifying background causal context from natural language. We envision LLMs to be for both implicit and explicit consideration of causal fac context from natural language. We curvator Lators to for both implicit and expitcit consustantion to saurou no-used alongaide existing causal methods, as a province for both implicit and expitcit consustantion to saurou no-fin human domain knowledge and to reduce human effort in reasoning capabilities (Hobbhahn et al., 2022; Kowy et al. human domain knowreuge and of the biggest impediments setting up a causal analysis, one of the biggest impediments 2022; Willig et al., 2022; Liu et al., 2023; Zhang et al., to the widespread adoption of causal methods. We also see existing causal methods as promising tools for LLMs to formalize, validate, and communicate their reasoning, absurd claims and are often observed to make basic er-

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struct and measurement validity. We find that LLM-based

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Working Paper May 9, 2023

their robustness.

especially in high-stakes scenarios

and 4 outperform existing algorithms on a pairwise causal

guages, generate stories, poems, essays, and other texts, rors of logic and mathematics, much less complex rea-

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Knowledge Graphs vs. Vector Search

- Model richer semantic relationships
 - Taxonomic, logical, procedural, etc.
 - Beyond just similarity scores

Enable explainable inference trails

Trace paths over entities and relations Understand reasoning process Provide modular, structure-learnable components

Custom **subgraphs** with unique **constraints**

- Add new facts and ontologies
- Allow focused exploration

Directly retrieve interconnected content

Avoid drifting to tangentially related info Empower more systematic reasoning

Leverage validated connections

Qualify facts with metadata like time, location, etc.



Knowledge Graphs vs. Vector Search

	Vector Search RAG	Knowledge Graph RAG	
Relationships	Passages linked by semantic vector similarity scores	Diverse relationships between entities - taxonomic, logical, temporal	
Reasoning Style	Similarity-chain based	Multi-hop inference over graph schema	
Inference Trail	Opaque neural projections	Explicit interpretation over graph paths	
Exploration Dynamics	Potential semantic drift	Focused traversal anchored to key entities	
Modularity	Lack native support	Custom subgraphs with unique constraints	
Evolution	Requires external model changes	Continuous structure learning from data	
Trustworthiness	Questionable relevance signals	Validated relations and explainable trails	
Limitations Precision capped by loose implicit associations		Pragmatic balance between depth and scale	



Challenges of Complex Knowledge Graphs:

Massive Scale

- Billions of facts creates computational bottlenecks
- Exponential complexity for algorithms

Noise

- Inaccurate facts from information extraction
- Propagates to degrade query responses

Incompleteness

- Gaps relative to full scope of world knowledge
- Important concepts and relations missed

Sparsity

- Power law distribution of connections
- Islands of facts with minimal links
- Hampers lookup and inference

Difficulty of Query Formulation

- Mapping questions to formal query languages challenging

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- Requires understanding precise semantics
- Steep learning curve for domain experts



The Gates

Cypher Queries

- Formulate precise graph pattern matching queries in Cypher to extract entities and relationships

- Requires expertise in query language to translate information needs

- Retrieves subgraphs that can provide contextual facts to guide LLM

Vector Similarity Search

- Encode knowledge graph contents into embeddings vector space

- Allows approximate semantic search for relevant entities/relations instead of keywords

- Blazing fast indexed retrieval to contextualize language generation

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The Gates

Graph Algorithms

Graph algorithms equip language
 models with topological knowledge about
 explanatory reasoning chains, influential entities,
 contextual modularity, and similarity embeddings
 Elevating inference through

structural perspective beyond individual facts.

Generative Knowledge Graphs

- Transform symbolic graphs into continuous probability distributions

- Allows sampling plausible new triples and uncertainty modeling

- Compatible with language model generation for grounding

- Handles noise and missing facts via joint distributions

- Constraint-aware generation respecting ontology

- Augmentation by extracting relations from text



Proposed Orchestration Workflow:

Iterative Analysis

- Comprehend reasoning needs
- Identify key entities and relationships
- Deconstruct question into information needs

Modularization

- Encapsulate targeted search operations
- Create reusable reasoning components
- Define interfaces for interoperability

Parallel Evidence Retrieval

- Configure & launch concurrent query tools
- Rapidly focus on relevant regions
- Continual optimization based on signals

Propagate Intermediate Results

- Directly populate centralized state store
- Resolve co-references across retrieved content



Proposed Orchestration Workflow:

Iterative Analysis

- Comprehend reasoning needs
- Identify key entities and relationships
- Deconstruct question into information needs

Modularization

- Encapsulate targeted search operations
- Create reusable reasoning components
- Define interfaces for interoperability

Parallel Evidence Retrieval

- Configure & launch concurrent query tools
- Rapidly focus on relevant regions
- Continual optimization based on signals

Propagate Intermediate Results

- Directly populate centralized state store
- Resolve co-references across retrieved content

https://towardsdatascience.com/achievingstructured-reasoning-with-llms-in-chaotic-contextswith-thread-of-thought-prompting-anda4b8018b619a?sk=5d0c86d418b35886138edfc58 6809e30



Proposed Orchestration Workflow:

Recursive Re-planning

- Re-evaluate open needs based on evidence
- Dynamically launch additional queries
- Track progress towards completeness

Assimilation by Language Models

- Batch updated state digest for ingestion
- Disambiguate and reconcile evidence
- Highlight speculative interpretations

Evaluation & Explanation

- Assess alignment with original query
- Construct response elucidating reasoning
- Expose key graph traversal paths

Leverage Asynchrony and Concurrency

- Concurrent operations reduce waiting time
- Parallelism increases computational efficiency
- Accelerate overall workflow

Strategies for Symbolic/Subsymbolic Blending:

- Joint vector embeddings
- Inject symbolic graph schemas
- Differentiable graph programming



THANK YOU!

Anthony ALCARAZ Chief Al Officer anthony@fribl.co M- +33 641860945

Synchroteam

François Pichon, Co-Founder

"As a SMB operating in Europe and USA, it is imperative for us to optimize our processes and avoid costly errors. Our conventional recruitment procedure typically spans several weeks to finalize candidate preselection and confirm the hiring decision. The integration of Fribl has transformed our approach to talent acquisition, introducing a level of efficiency and cost-effectiveness that was previously unattainable. What used to be a time-intensive process of candidate selection now unfolds within mere minutes. This streamlined efficiency allows us to redirect our efforts towards cultivating meaningful connections with our chosen candidates. The rapidity and precision afforded by GenAI have significantly enhanced our recruitment strategy, reaching unprecedented levels of seamlessness and satisfaction. It stands as a pivotal advancement in the realm of talent acquisition, serving as a true game-changer for our organisation."



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AppTek Company Overview





Q						
	250K	Transcribed audio hours				
	1.5M	Audio hours for unsu	supervised training			
	60+	Languages with 100s of dialects				
	ASR	Automatic transcription of broadcast, media and entertainment microphone and telephony in 60+ languages				
	MT	Utilizes software to translate text or speech into different languages, featuring 600+ language pairs				
	NLU/P	Context from ASR used to discern meaning and execute an inten- from voice commands				
	TTS	Reading out text in h	ading out text in human-like, expressive and adapted voices			
	~60 32	Scientists PhDs	100s 9	Peer-reviewed papers		
	~20	Research engineers		Patents		



2
ClimateGPT

- Developed and fine-tuned a generative LLM model to improve fluency of scientific climate change output
- 3 dimensions/perspectives: Natural Science, Economics, and Sociology
- Baselines are Llama2-7B, Llama2-13B and Llama2-70b trained on 2T tokens
- Continuous pre-training on 4.2B tokens climate-related text
- Instruction Fine Tuning augmented with climate-scientist curated data (10k demonstration pairs)
- Hierarchical retrieval augmentation
- Multilinguality through cascaded system







ClimateGPT Training CPT + IFT



- Continuous pre-training on 4.2B climate-related text
 - Extreme Weather reports (10 years * 1M articles)
 - Technical Game-Changing Breakthroughs (153 themes x.1000 articles)
 - SDGs)
 - **Climate Change News**
 - **Climate Change reports**
 - World Bank, OECD, IPCC, UN, EU, TFCD, US, NASA, ESA, WRI, IREA, WEF, Nature Finance
 - Climate Academic Research

Selection through Sustainable Development Goals (17



ClimateGPT Training CPT + FT

HODTEK

 ${ \bullet }$

Domain	Name	Total Size	Training Samples
Climate	Senior Expert Interviews	74	1,332
	Grounded Expert Demonstration	403	7,254
	Grounded Non-Expert Demonstrations	9,663	146,871
	Synthetically Generated Demonstrations	57,609	0
	Climate-dimension specific StackExchange	3,282	9,846
General	AppTek General	700	2,100
	OASST-1	3,783	11,349
	Dolly	15,001	45,003
	Llama-2 Safety	939	2,817
	FLAN	38,909	30,000
	СоТ	448,439	15,000

Instruction Fine Tuning augmented with climate-scientist curated data (10k demonstration pairs)

271,572 demonstration pairs



60.8%

39.2%

ClimateGPT Inference time RAG



- 20k pages
- science)
- Vector search (transformer bi-encoder)
- Hierarchical retrieval
 - Page level search (top 60)
 - Chunks of 115 tokens per page (top 5)
 - Citations provided through selected chunk



700 documents (IPCC* reports + academic papers cleaned from tables and references)

GPT-3.5 tagged along 3 dimensions (economy, social,

→ 5*115 + meta-data == 154 tokens added per dimension



Hierarchical

RAG







ClimateGPT Inference time

<u>AppTek</u>

- Multilinguality through cascaded system
 - No truly multilingual open source LLM available
 - Allows to keep compactness and LLM model precision
 - Answer quality for low resourced languages (science)
 - May not be adapted to culture





ClimateGPT Results

- Evaluated on \bullet standard language comprehension tasks
 - climate related comprehension tasks
- ClimateGPT-7B models equals performance of Llama2-70B \bullet on climate tasks
 - 10 times smaller
 - 12 time less energy needed at inference time
- base model
- Multilinguality addressed with a cascaded approach ${\color{black}\bullet}$

Apotek

Incremental training at a tiny fraction of the cost needed to train the







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RAG: vector search quality

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KO:



KGs: Pros and Cons

PROS Manual KGs are factual

- Contains explicit alternatives / complementarity / inconsistencies
- Allows reasoning
- Ooes not always have an answer







11

LLMs: Pros and Cons





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CONS

- Hallucinates
- One answer per perspective
- No abstraction: No reasoning structure
- Always has an answer

12

KGs to Improve RAG*

Document-based KG generation has good results when intention/goal is known

- Given a question to the LLM (Q-Intention + Q-Entities)
- Given a set of documents used as a priori knowledge indexed on D-Entities
- Select subset of documents based on Q-Entities
- Apply Q-Intention Recognition on the subset of documents
- Extract document snippets with ranked Q-Intention
- Provide LLM with question + snippets

Reduce snippet vector search to intention recognition and keep entities (abstraction and resolved value) as hard as possible

- Build a (dynamic) KG from subset
- Get KG-facts related by Q-Intention
- Provide LLM with question, KG facts and \bullet document snippet related to KG facts

*work in progress



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Lifeline on a phone in e-ViTA Hugues Sansen



Initial objective e-Vita embedded on a phone



Source: G. Chollet et al. IHIET 2024



Disappointments

- The LLM we installed on a Pixel6 was too slow for a realistic dialogue
 - Expected <400ms (equivalent to telephony with geostationary satellite)
 - A jitter is detected when over 200ms and becomes uncomfortable
 - Reality > 1mn
- Too "generative" to be usable
 - Funny answers on "What is it like to be a bat?" (Thomas Nagel)
- This was before Google's Gemini on Pixel8, that we will use in a short future.

=> we revived the Lifeline project



Lifeline

- Is a graph that represents what a user can tell about her life
- Is built from a dialogue with the user according to the graph theory
- Milestones are temporal vertices.
- The knowledge graph is built through the dialogue. It reflects who the user is and what she knows or believes.
- It can be seen as a ghost writer that will write the bio with a LLM from the knowledge extracted from the graph.



What we developed

- A graph DB, with small initial knowledge (the 5 countries of the e-ViTA project as a graph)
- A rule based dialogue
 - technically simple if not naive, efficient, precise, relatively well suited for graph construction, but tedious, and incapable of detecting speech recognition errors
 - Spoken text is localized for easy translation,
 - Large use of localized Regular expressions and distance measure: the speech recognizer is not reliable.
 - Unfortunately, we receive text generated by a speech recognizer, not by a keyboard thus available text distance measurement APIs are of little value if we do not know the words used by the speaker:
 - "the wolves are made of stones" instead of "the walls are made of stones"



Vertices

- An oid field (UUID)
- A type = vertex
- A subtype
- A creation timestamp
- A data field (string)
- A field that indicates whether a vertex is unique (e.g. there is only one Paris, France)
- A vector field (not used yet: e.g. to represent synonyms and antonyms on the surface of a Poincaré sphere)





- Oid (UUID)
- Type = edge
- Subtype
- Graph name
- Input oid

- Input name
- Input vector
- Output oid
- Output name
- Output vector

44a5ff9e-9faa-4e06-93e2-ae89d2166417 Graph: residence c9628156-52e0-4b2f-9e2a-55678c7f3298 6760721d-dcca-4932-a60f-90f88d6c6bd7 owns is_property_of

In the second second

- They represent a period from 1ns to years.
 - They have a start date
 - And an end date in addition to a standard vertex fields.
 - A date can be inaccurate e.g. a year

This was chosen to represent the fuzziness of dates that cannot be instants but periods



Benefits

- Vertices do not reference graphs
- Serialization of complex graphs is easy
- An object table, references objects by their id.
- Sufficiently fast compared to speech required time
- Can be saved either as json files or in a 2 Table SQL database (3 if we want to have a dedicated table for milestones), graphs are created by the names of the edges.

EU-JAPAN VIRTUAL COACH FOR SMART AGENA ACCESS to created vertices and edges

- 3 contexts:
 - The whole graph (all the graphs),
 - A session context,
 - A short term context (per sub dialogue)
- 1 (oid,vertex or edge) map: object table as for Object DBs
- 1 (name,vertex) map
- Access to graphs through their names.



Graph theory and dialogue

- Difficulty to determine transitivity automatically in language since it is semantically based:
 - A cheap horse is rare, what is rare is expensive, thus a cheap horse is expensive.
- Non directional edges for automatic graph browsing: Inverse sentence of an edge, usually passive form, (input_sentence ↔ output_sentence) is not trivial and must be adaptable to the vertices an edge is connected to. Easy localization must also be taken into account.



What is left

- We only had 3 months to have something running on a phone, in which, one month has been dedicated to adapt a LLM.
- For complex answers we must add:
 - either a 80's Chomskian grammar analysis
 - Or a LLM based analysis
- LLM for bio redaction
- Integration: Diarization, Weather, sensor integration etc.
- Use the contacts in the phones
- Develop a dialogue editor, a project in itself
- Integrate photos and videos



What we did wrong

Graph Programming is not Object Programming

- Smalltalk programmer by education, ex Gemstone Systems employee, our early vertices were too object like which implied dedicated code.
- Automatic browsing became too much case by case.
- => Unlearn object programming and make the vertices minimal (unlike the examples provided by some graph DB vendors).



Thank you







Appendix

EU-JAPAN VIRTUAL COACH FE EXample of loop dialogue node

```
"id": "20 1".
"nam e": "user describes her house",
"condition": "default",
"action": [
 "create_vertex subtype: utterance name: answer.value constraint: unique",
 "create_edge graph: residence between OW NER and short_term_context_last_inputN am e said outputN am e as said_by",
 "create edge graph: residence between short term context last and HOME inputN ame as said about outputN ame is described as"
"response tim er": "30s",
"random sentence choice": true,
"bop exit": "bop exit regex",
"sentences": [
  "sentence": "0 kay",
  "variables": ""
 ſ,
  "sentence": "Excellent".
  "variables": ""
  "sentence": "Cool",
  "variables": ""
"children": ["20_1"],
"response_tin er_children": ["21_1"],
"bop_exit_child": "23_1",
"requires answer": true,
"on error": "on error 1"
```

